# PRIVACY IMPACT ASSESSMENT (PIA)

## For the

| |
|---|
| Electronic Transportation Acquisition (ETA) |
| USTRANSCOM |

## SECTION 1: IS A PIA REQUIRED?

**a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).**

☐ (1) Yes, from members of the general public.

☐ (2) Yes, from Federal personnel* and/or Federal contractors.

☒ (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.

☐ (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

**b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.**

**c. If "Yes," then a PIA is required. Proceed to Section 2.**

## SECTION 2: PIA SUMMARY INFORMATION

**a. Why is this PIA being created or updated? Choose one:**

☐ **New DoD Information System** ☐ **New Electronic Collection**

☒ **Existing DoD Information System** ☐ **Existing Electronic Collection**

☐ **Significantly Modified DoD Information System**

**b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?**

☐ **Yes, DITPR** Enter DITPR System Identification Number

☐ **Yes, SIPRNET** Enter SIPRNET Identification Number

☒ **No**

**c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?**

☐ **Yes** ☒ **No**

**If "Yes," enter UPI**

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

**d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

☒ **Yes** ☐ **No**

**If "Yes," enter Privacy Act SORN Identifier** FTRANSCOM 01 DoD

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: http://www.defenselink.mil/privacy/notices/

**or**

**Date of submission for approval to Defense Privacy Office**
Consult the Component Privacy Office for this date.

**e. Does this DoD information system or electronic collection have an OMB Control Number?**
Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ **Yes**

**Enter OMB Control Number** 0704-0530

**Enter Expiration Date** October 31, 2017

☐ **No**

**f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.**

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

Public Law 100-562, Imported Vehicle Safety Compliance Act of 1988; 5 U.S.C. 5726, Storage Expenses, Household Goods and Personal Effects; 10 U.S.C. 113, Secretary of Defense; 10 U.S.C. 3013, Secretary of the Army; 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8013, Secretary of the Air Force, 19 U.S.C. 1498, Entry Under Regulations; 37 U.S.C. 406, Travel and Transportation Allowances, Dependents, Baggage and Household Effects; Federal Acquisition Regulation (FAR); Joint Federal Travel Regulation (JTR), Volumes I and II, DoD Directive 4500.9E, Transportation and Traffic Management; DoD Directive 5158.4, United States Transportation Command ; DoD Instruction 4500.42, DoD Transportation Reservation and Ticketing Services; DoD Regulation 4140.1, DoD Material Management Regulation; DoD Regulation 4500.9, Defense Transportation Regulation; and DoD Regulation 4515.13-R, Air Transportation Eligibility.

**g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.**

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

The Electronic Transportation Acquisition (ETA) is the single sign on portal for various transportation and personal property move applications. Included in the ETA portal is Defense Personal Property System (DPS). DPS provides a single, centralized, web-based system for the management of personal property shipments for the Department of Defense (DOD).

Personally Identifiable Information (PII) must be collected to execute the USTRANSCOM missions for moving personal property. The PII is required to provide DoD personal property shippers and transportation service providers (TSP) the ability to conduct daily operations such as shipment processing, report generation, and costing. The information allows DoD shippers to arrange for shipments directly with TSPs via the web, and pay for services utilizing Syncada web-based commercial business-to-business payment system and is used to provide real-time shipment information and to support direct claims settlement for the customers.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

No additional privacy risk is caused by the PII collection. However, the system continues to make systemic improvements to applied discretionary access controls, and compliance with encryption-for-confidentiality. The system meets FIPS 140-2 and FISMA requirements. DPS requires authentication through the SDDC Enterprise Transportation Acquisition (ETA) single sign-on site. ETA enforces the use of Public Key Enabling/Public Key Infrastructure (PKE/PKI) authenticators, including DOD approved External Certificate Authority (ECA) certificates for Transportation Service Providers, and DoD-issued Common Access Cards (CAC) for SDDC personnel. The system performs user input validation, minimizing the risk of altered control flow, arbitrary control of resources, or arbitrary code execution. DPS and DISA record audit logs for all servers, and DISA monitors operating system audits using the Sensage tool, meeting the USTRANSCOM and DODI 8500.2 required auditing standards.

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)?** Indicate all that apply.

☒ **Within the DoD Component.**

Specify. USTRANSCOM

☐ **Other DoD Components.**

Specify.

☐ **Other Federal Agencies.**

Specify.

☐ **State and Local Agencies.**

Specify.

☐ **Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify.

☐ **Other** (e.g., commercial providers, colleges).

Specify.

**i. Do individuals have the opportunity to object to the collection of their PII?**

☒ **Yes** ☐ **No**

(1) If "Yes," describe method by which individuals can object to the collection of PII.

When requesting shipment and/or storage of personal property, the prospective user submits an application (i.e., DD Form 1299, DD Form 2875). The form advises the applicant that "DISCLOSURE is voluntary; however, failure to provide the requested information may delay shipping dates and impede storage arrangements."
There is a requirement on many DoD Forms relevant to personal property shipments that require the military member to provide a social security number (SSN). If the applicant does not provide requested information on required forms, the Personal Property Shipping Office (PPSO) must manually input the PII for the service member in order to create an account in the Electronic Transportation Authorization (ETA) system, the system through which customers access Transportation Operational Personal Property System (TOPS).

(2) If "No," state the reason why individuals cannot object.

**j. Do individuals have the opportunity to consent to the specific uses of their PII?**

☒ **Yes** ☐ **No**

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

A warning banner that must be acknowledged by the user is used to inform each individual whom it asks to supply information the authority for data collection, the principle purpose or purposes for which the information is intended to be used, the routine uses which may be made of the information, and the effects on the individual, if any, of not providing all or any part of the requested information IAW the Privacy Act of 1974. PII is collected for personal property movement only. PII collected within ETA is a requirement for the Defense Personal Property System (DPS). DPS requires the SSN as it interacts with financial institutions, computer matching, foreign travel, and legacy system interface.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

**k. What information is provided to an individual when asked to provide PII data?** Indicate all that apply.

☒ **Privacy Act Statement** ☐ **Privacy Advisory**

☐ **Other** ☐ **None**

Describe each applicable format.

PRIVACY ACT STATEMENT AUTHORITY: Executive Order 10450, 9397; and Public Law 99-474, the Computer Fraud and Abuse Act.

PRINCIPAL PURPOSES: To record names, signatures, and Social Security Numbers (SSN) for the purpose of validating the trustworthiness of individuals requesting access to Department of Defense (DoD) systems and information. NOTE: Records may be maintained in both electronic and/or paper form.

ROUTINE USES: None.

DISCLOSURE: Disclosure of this information is voluntary; however, failure to provide the requested information may impede, delay, or prevent further processing of this request.

**NOTE:**

**Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.**

**A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.**